This Page Is Inserted by IFW Operations and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problem Mailbox.

081-62 799



PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 5:

(11) International Publication Number:

WO 92/18522

C07H 21/00, C12Q 1/68

(43) International Publication Date:

29 October 1992 (29.10.92)

(21) International Application Number:

PCT/US92/03205

(22) International Filing Date:

17 April 1992 (17.04.92)

(30) Priority data: 687,337

18 April 1991 (18.04.91)

(74) Agents: CAMPBELL, Cathryn et al.; Pretty, Schroeder, Brueggemann & Clark, 444 South Flower Street, Suite 2000, Los Angeles, CA 90071 (US).

US

(81) Designated States: AT (European patent), BE (European patent), CA, CH (European patent), BE (European patent), CA, CH (European patent), DE (European patent), ES (European patent), FR (European patent), FR (European patent), GR (European patent), JT (European patent), JP, LU (European patent), MC (European patent), NL (European patent), SE (European patent), US.

(71) Applicant (for all designated States except US): THE SALK INSTITUTE FOR BIOLOGICAL STUDIES [US/US]; 10010 North Torrey Pines Road, La Jolla, CA 92037 (US)

(72) Inventors; and

(75) Inventors/Applicants (for US only): CHU, Barbara, Chen, Fei [US/US]; 13716-D Ruette Le Parc, Del Mar, CA 92014 (US). ORGEL, Leslie [GB/US]; 6102 Terryhill Drive, La Jolla, CA 92037 (US).

Published

With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

(54) Title: OLIGODEOXYNUCLEOTIDES AND OLIGONUCLEOTIDES USEFUL AS DECOYS FOR PROTEINS WHICH SELECTIVELY BIND TO DEFINED DNA SEQUENCES

(57) Abstract

Improved DNA structures are disclosed which contain target sequences which bind to control proteins (such as the CREB protein). The structures of the present invention are stable to degradation, and are effective as decoys for control proteins, making it possible to modulate the transcriptional control normally exerted by such control proteins. In addition, there is provided a method to reversibly crosslink oligonucleotides to polypeptides which recognize the oligonucleotide sequence. This method involves synthesizing DNA structures as described above, wherein one or more phosphorothioate diester linkages are incorporated into the resulting oligonucleotide, allowing the phosphorothioate diester-containing oligonucleotide to bind to polypeptides which recognize the sequence of said oligonucleotide, then contacting the polypeptide-bound oligonucleotide with a transition metal reagent.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

		4		•	
AT	Austria	F3 -	Finland	MI.	Misii
AU	Australia	FR	France	MN	Mongulsa
**	Burbalos	CA	Ciabun	MR	Mauritania
88	Belgium	C8	United Kingdom	MW	Malawi
BP	Burkina hipu	" CN	Guinea	NL.	Nutherlands
BG	Bulgaria	CR	Greece	NO	Norway
Bj	Benin	HU	Hungary	PL	Poland .
BR	Brazil .	Æ	Ircland .	RO	Romania
CA	l'anada	rt !	وايناا	RU	Russian Federation
CF	Central African Republic	78	Jupan	SD	Sudan
CC	Congo	KP	Democratic People's Republic	SE	Sweden -
CH	Switzerland -		of Korea	SN	Sunegal
CI	(ôte d'Issire	· KR	Republic of Korea	SU	Soviet Union
CM	Cameroun .	u	Liechtenstein	TD	Chad
C	(Secheslerakia	LK.	Sri Lanka	TG	Tugo
DE	(justinary	·	Luxembourg	US	United States of America
DK	Denmark	MC	Monaco		•

OLIGODEOXYNUCLEOTIDES AND OLIGONUCLEOTIDES USEFUL AS DECOYS FOR PROTEINS WHICH SELECTIVELY BIND TO DEFINED DNA SEQUENCES

FIELD OF THE INVENTION

The present invention relates to novel oligodeoxynucleotides and novel oligonucleotides, and the use thereof to modulate the production of selected messenger or other cellular RNAs.

BACKGROUND OF THE INVENTION

The rate of transcription of many genes depends on the interaction of control proteins (e.g., transcription factors, repressors, and the like) with specific short DNA sequences, generally located close to the promoter. The CREB protein (also referred to as CRE-BP), for example, binds tightly to double-stranded DNA containing the sequence 5'-TGACGTCA-3'.

Double stranded DNA containing such a target sequence can be introduced into the system as a decoy, diverting control proteins from their endogenous DNA target. By diverting the control proteins from their endogenous target, the regulatory effects of such proteins can be altered.

Double stranded DNAs containing such a target sequence are typically prepared by first synthesizing the two complementary oligonucleotide strands, and then hybridizing them together. Introduction of such double stranded DNAs into whole cells, as will be required for many therapeutic applications, will be useful only if the construct is reasonably stable under physiological conditions under which cells remain viable. For example, if the sequence length of the double stranded DNA is insufficient, the two strands will tend to dissociate. In addition, relatively short DNA sequences will be

particularly prone to nuclease digestion by enzymes in the growth medium.

Therefore, it would be desirable to develop DNA double-helixes which contain target sequences which bind to control proteins, and which are stable to physiological conditions which would otherwise degrade (and inactivate) such DNA.

10

BRIEF DESCRIPTION OF THE INVENTION

In accordance with the present invention, we have developed improved DNA structures which contain target sequences which bind to control proteins. The structures of the present invention are stable with respect to strand separation and to enzyme-mediated degradation, and are effective as decoys for control proteins, thereby enabling one to modulate the transcriptional control normally exerted by such control proteins.

20

BREIF DESCRIPTION OF THE FIGURES

Figure 1 is a schematic diagram of a hairpin DNA of the present invention.

25

Figure 2 is a schematic diagram of a dumbbell DNA of the present invention.

Figure 3 is a schematic diagram of several 30 modified forms of the dumbbell DNA of the present invention.

Figure 4 is a schematic diagram of covalently bound DNA of the present invention.

DETAILED DESCRIPTION OF THE INVENTION

In accordance with the present invention, there is provided a composition comprising an oligonucleotide comprising, reading from the 5'-end of said oligonucleotide:

- (i) a first segment comprising a sequence of deoxynucleotide or nucleotide residues, or analogs thereof;
 10 wherein said first segment, when hybridized with its complement, forms at least one transcription control recognition sequence of at least 6 nucleotides,
- (ii) a second segment comprising a sequence of deoxynucleotide or nucleotide residues, or analogs thereof, sufficient to allow the formation of a first loop structure between said first segment and the third segment, and
- (iii) a third segment comprising a sequence of 20 deoxynucleotide or nucleotide residues, or analogs thereof, wherein said third segment is substantially the complement to said first segment.

In accordance with another embodiment of the present invention, there is provided a composition comprising a double-stranded DNA fragment, wherein said DNA fragment contains at least one transcription control recognition sequence of at least 6 nucleotide base pairs, and wherein one strand of said DNA fragment is attached to the other strand by means of at least one linker that is covalently bound to each of the strands of said DNA.

In accordance with yet another embodiment of the present invention, there is provided a method to modulate the transcription of products which are subject to regulation by transcription control recognition sequences, said method comprising administering a therapeutically

:1

effective amount of at 1 ast one of the above-described compositions to a subject. In accordance with still another embodiment of the present invention, there is provided a method to improve the stability of a double-stranded DNA fragment, wherein said DNA fragment contains at least one transcription control recognition sequence of at least 6 nucleotide base pairs,

said method comprising introducing at least one linker between the first strand and the strand complementary thereto, wherein said linker is covalently bound to each strand of said double-stranded DNA fragment.

In accordance with still another embodiment of the present invention, there is provided a method to 15 reversibly crosslink oligonucleotides to polypeptides which recognize the oligonucleotide sequence. This method involves synthesizing oligonucleotides as described above, wherein one or more phosphorothicate diester linkages are incorporated into said oligonucleotide, allowing the phosphorothicate diester-containing oligonucleotide to bind to polypeptides which recognize the sequence of said oligonucleotide, then contacting the polypeptide-bound oligonucleotide with a transition metal reagent such as The effects of crosslinking can readily be 25 reversed by treating the crosslinked material with an effective ligand for the transition metal, such as an alkali metal cyanide, and the like.

Transcription control recognition sequences

contemplated by the present invention are sequences which
are recognized by control proteins, and which are involved
in either enhancing or repressing transcription of
associated sequences. Transcription control recognition
sequences contemplated by the present invention include
sequences set forth in the article by Locker and Buzard in
J. DNA Sequencing and Mapping 1: 3-11 (1990), and include
promoter elements, hormone response elements, viral and

cellular elements, liver associated elements, tissue associated elements, and the like.

Exemplary promoter elements include the CACCC-Box

(having the sequence 5'-GCCACACCC-3'), the GC-Box (having the sequence 5'-KRGGCGKRRY-3', SEQ ID NO: 14, wherein each K is independently G or T; each R is independently G or A; and Y is C or T), the CAT-Box of NF-1 cells (having the sequence 5'-TTGGCNNNNNGCCAA-3' or 5'-TTGGCNNNNNNGCCA-3',

SEQ ID NO: 15 and 16, respectively, wherein each N is independently selected from A, G, C or T), and the like.

Exemplary hormone response elements include the (having the element response estrogen 15 5'-GGTCACAGTGACC-3'; SEQ ID NO: 17), the glucocorticoid (having the element response 5'-YGGTWCAMWNTGTYCT-3', SEQ ID NO: 18, wherein each Y is independently C or T; each W is independently A or T; M is A or C; and N is any one of A, C, G, or T), the thyroid (having the · sequence element response 20 hormone 5'-AGGTAAGATCAGGGACGT-3'; SEQ ID NO: 19), the thyroid element (having the hormone inhibitory 5'-AGGGTATAAAAAGGGC-3'; SEQ ID NO: 20), the steroldependent repressor (having the sequence 5'-GTGSGGTG-3', 25 wherein S is G or C), and the like.

elements include the viral Exemplary (having the sequence papillomavirus enhancer E2 5'-ACCNNNNNNGGT-3', SEQ ID NO: 21, wherein each N is 30 independently selected from A, C, G or T), the adenovirus sequence (having the enhancer-3 5'-TTTTTTGGCTTTCTGGGC-3'; SEQ ID NO: 22), the EII-ORFP (Adeno) element (having the sequence 5'-ATCGGTGCACCGAT-3'; SEQ ID NO: 23), the ESV IE promoter (having the sequence 5'-TAATGARAT-3', wherein R is A or G), the ESV late promoter (having the sequence 5'-GGGTATAAATTCCGG-3'; SEQ ID NO: 24), and the like.

Exemplary viral and cellular elements include the E2F (Adeno) element (having the sequence 5'-TTTCGCGC-3'), the EIIaE-Cβ (Adeno) element (having the sequence 5'-TGGGAATT-3'), the E4IF1 (Adeno, CMV) element (having the sequence 5'-AGGAAGTGAAA-3'; SEQ ID NO: 25), the Adenovirus major late transcription factor, UEF, USF (having the sequence 5'-GGCCACGTGACC-3'; SEQ ID NO: 26), and the like.

Exemplary liver associated elements include the

AFP Box I (having the sequence 5'-CTTTGAGCAA-3'; SEQ ID NO:

27), the Liver factor-A1, ENF-2 element (having the sequence 5'-TGRMCC-3', wherein R is A or G; and M is A or C), the tf-LF1 (FRI) element (having the sequence 5'-ARYCTTTGACCTC-3'; SEQ ID NO: 28, wherein R is A or G;

and Y is C or T), the tf-LF2 (DRI) element (having the sequence 5'-TCTTTGACCTTGAGCCCAGCT-3'; SEQ ID NO: 29), LF-B1 (EKF-1, B-Protein, Liver element, PE, EP-1, AFP1), having the sequence 5'-TGGTTAATNWTCNNCA-3', SEQ ID NO: 30, wherein W is A or T; and each N is independently selected from A,

C, G, or T; the C/EBP (EBP-20) element (having the sequence 5'-TCNTACTC-3'), and the like.

Additional exemplary elements include general elements [e.g., the AP-1 element (having the sequence 5'-TGAGTCAG-3'), the AP-2 element (having the sequence 5'-GSSWGSCC-3', wherein each S is independently C or G; and W is A or T), the AP-3 element (having the sequence 5'-GGAAAGTCC-3'), the AP-4 element (having the sequence 5'-CAGCTGTGG-3'), the AP-5 element (having the sequence 5'-CTGTGGAATG-3'; SEQ ID NO: 31), the CRE-BP element (having the sequence 5'-TGACGTCA-3'), the 3'-enhancer of sequence 5'-GCTTTTCACAGCCCTTGTGGATGC-3'; SEQ ID NO: 32), the fos basal level inhibitor (having the sequence 5'-GCGCCACC-3'), the fos BLE-2 element (having the sequence 5'-AAGCCTGGGGCGTA-3'; SEQ ID NO: 33), serum response element (having the sequence 5'-CCWWWWWWGG-3', SEQ ID NO: 34, wherein each W is independently selected from A or T),

the SIS-c nditioned medium r sponse element (having the 5'-GTTCCCGTCAATC-3'; SEQ ID NO: 35), α -interferon viral response element (having the sequence 5'-GAAANNGAAASK-3', SEQ ID NO: 36, wherein each N is 5 independently A, C, G or T; S is C or G; and K is C or T), (having the sequence the a-Interferon Silencer A 5'-GAAAGY-3', wherein Y is T or C), the β -Interferon Silencer B (having the sequence 5'-TCMYTT-3', wherein M is A or C; and Y is C or T), the Lysozyme Silencer 1 (having 10 the sequence 5'-ANCCTCTCY-3'), the Lysozyme Silencer 2 (having the sequence 5'-ANTCTCCTCC-3'; SEQ ID NO: 37), the the sequence 3 (having Silencer 5'-AACAATGGCTATGCAGTAAAA-3'; SEQ ID NO: 38), the Myc-CF1 element (having the sequence 5'-AGAAAATGGT-3'; SEQ ID NO: 39), the TGF- β inhibitory element (having the sequence 5'-GNNTTGGTGA-3'; SEQ ID NO: 40), and the like], tissue associated elements [e.g., pancreatic enhancer (having the sequence 5'-GWCACCTGTSCTTTTCCCTG-3'; SEQ ID NO: keratinocyte enhancer (having the sequence 5'-AANCCAAA-3'), 20 immunoglobulin gene enhancers, such as the $\mu E1$ enhancer (having the sequence 5'-AGTCAAGATGGC-3'; SEQ ID NO: 42), (having the enhancer BE2 5'-CAGGCAGGTGGCCCA-3'; SEQ ID NO: 43), the μ E3 enhancer (having the sequence 5'-AGGTCATGTGGCAAC-3'; SEQ ID NO: 44), (having the enhancer μE4 25 the 5'-TAACCCAGGTGGTGTT-3'; SEQ ID NO: 45), and the like], as well as other such elements.

In accordance with the present invention, the

effect of control proteins on the above-described
transcription control recognition sequences can be
modulated by administration to a subject of compositions of
the invention containing the appropriate recognition
sequences. Thus, for example, the induction of hormone
response can be modulated by the administration of a decoy
having one of the hormone recognition sequences set forth
ab v . Similarly, the xpr ssion of oncogenes (e.g., genes

related to myc, jun, fos, etc), viral enhancers, and the like, can be modulated by the administration of a decoy containing an appropriate recognition sequence. By turning off the expression of oncogenes in this way, it becomes 5 possible for the cell.population being treated to return to its normal state. By turning off the expression of viral enhancers, the proliferation of viral species can be prevented, thereby enabling the host organism to resist viral infection.

10

The compositions of the present invention can be provided to a subject by any suitable administration, as are well known to those of skill in the art, such as for example, by injection (when formulated in 15 a suitable carrier), by topical application formulated in a suitable carrier), by incorporation into liposomes, which are then administered in conventional manner, or targeted to recipient cells by specific antibodies, and the like.

20

30

Structures contemplated by the present invention include "hairpin" structures (see Figure 1); "dumbbell" structures (see Figure 2); modified dumbbell structures (see Figure 3); "cross-linked" decoy structures, i.e., 25 double stranded structures which are covalently attached to one another by at least one linker that is covalently. linked to each of the strands of the decoy (see Figure 4); and the like.

Loop structures contemplated for use in the practice of the present invention comprise at least three . nucleotides linking one strand of the decoy to the other. Typically, loop structures will comprise in the range of about 3 up to 10 nucleotides, with loop structures of 5 35 nucleotides being presently preferred.

Dumbbell structures contemplated for use in the

practice f the present invention comprise a loop structure as described above at each end of the decoy, thereby forming a closed circular DNA. Those of skill in the art recognize that one or more of the nucleotide bonds of 5 either of the hybridized chains of the dumbbell can be broken, without disrupting the basic double helix structure Thus, modified dumbbell structures are of the dumbbell. also contemplated by the present invention (see Figure 3). Such modified structures include those containing one or 10 more breaks in the nucleotide bonds of the oligonucleotide the oligonucleotide Optionally, dephosphorylated at the site of the break, and/or can actually be missing one or more of the bases normally present at the site of the break, so long as the geometry 15 required to present the transcription control recognition ' sequence to the target control protein is not substantially altered. -

oligonucleotides of designing the In to incorporating. invention, addition in 20 deoxynucleotides, nucleotides, or analogs thereof required transcription control recognition the "spacer" structure(s), the loop sequence(s), and into the incorporated also be nucleotides can Thus, the invention oligonucleotide can 25 oligonucleotide. include additional nucleotide sequences which are not part of the transcription control recognition sequence(s), or the loop structure(s). While there is no requirement that spacer nucleotides be incorporated into the oligonucleotide 30 of the present invention, up to 30 nucleotides or more can be present, in addition to the transcription control recognition sequence(s) and the loop structure(s).

While the oligonucleotides of the invention contain at least one transcription control recognition sequence, those of skill in the art recognize that the invention c mp sitions can contain multiple transcription

control recognition sequences. Such oligonucleotides can contain multiple repeats of the same transcription control recognition sequence, or one or more copies of more than one transcription control recognition sequence. While, in theory, there is no limit as to the number of transcription control recognition sequences which can be included in a single oligonucleotide of the invention, generally, ten or fewer transcription control recognition sequences will be included in a single oligonucleotide.

10

Alternative means to link the complementary strands of the transcription control recognition sequence include covalently linking one strand to the other by means of a linker such as a (poly)alkylene (e.g., a (poly)methylene) bridge, an α , ω -poly(alkylene) dicarboxylic acid, a binuclear Pt¹¹ complex, such as for example:

20

wherein n is 4, 5, or 6. Another alternative means to link the complementary strands of the transcription control recognition sequence is to contact the complementary strands of the transcription control recognition sequence with the natural product, psoralen, then photo-cross-linking by exposure to ultraviolet light, and the like.

Preparation of the invention structures can be carried out employing standard synthetic techniques. For example, to prepare a hairpin DNA of the invention, a single strand of DNA having the desired sequence of nucleotides and/or nucleotide analogs can be prepared on a DNA synthesizer, then allowed to self-associate.

35

To prepare a dumbbell DNA of the invention, a single strand of DNA having the desired sequence of nucleotides and/or nucleotide analogs can be prepared on a DNA synth sizer, ph sphorylated with an appropriate

lig nucl otide kinase, then allowed to self-associate, to form a dumbbell structure having a break where the tweends of the synthetic oligonucleotide meet. Thereafter, the break in the dumbbell structure can be annealed (employing, for example, DNA ligase) to produce a closed, circular DNA.

Oligonucleotides contemplated for use in the practice of the present invention can be prepared from naturally occurring nucleotides or deoxynucleotides (A, C, 10 G, T, or U), as well as nuclease resistant analogs thereof (e.g., phosphorothioates, methylphosphonates, phosphoramidates, and the like).

Optionally, oligonucleotides employed in the practice of the present invention can be modified by incorporating one or more phosphorothicate diester linkages therein. The resulting modified oligonucleotides are useful, for example, for the transition metal catalyzed crosslinking of oligonucleotide to polypeptide bound thereto.

The phosphorothicate diester linkages are readily incorporated into the oligonucleotide during synthesis by replacing the reagent used for oxidation of the phosphite intermediate (typically iodine is used for this purpose) with a sulfurizing agent such as tetraethylthicuram disulfide.

At least one phosphorothicate diester linkage
will be incorporated into the synthesized oligonuclectide
to facilitate crosslinking thereof with polypeptide.
Preferably, several phosphorothicate diester linkages will
be incorporated into the oligonuclectide. In a presently
preferred embodiment, at least four or more
phosphorothicate diester linkages are incorporated into the
recognition sequence of the oligonuclectide (i.e., the
portion of the oligonuclectide which is recognized by the

target polyp ptide).

Suitable crosslinking catalysts for use in the practice of the present invention include transition metal catalysts such as Pt(II) compounds, including Pt(II) comlexes. Examples of such compounds include K2PtCl4, trans platinum diammine dichloride, and the like.

The invention will now be described in greater by 10 reference to the following non-limiting examples.

EXAMPLE I

The following oligomers, containing the core 8-mer recognition sequence for the CRE-BP (5'-TGACGTCA-3'), were synthesized using standard oligonucleotide synthesis techniques.

- 1. Two complementary 18-mer sequences containing the core CRE-BP 8-mer recognition sequence with 5 flanking bases on either side:
 - 5'-AAA TTG ACG TCA TGG TAA-3' (SEQ ID No. 1)
- 25 3'-TTT AAC TGC AGT ACC ATT-5' (SEQ ID No. 2)
- 2. A 41-mer containing the above complementary
 18-mer sequences, with the 3' end of Sequence ID
 No. 1 joined to the 5' end of Sequence ID No. 2

 by a 5-base CTCTC loop, as follows (SEQ ID
 NO: 3):

5'-AAA TTG ACG TCA TGG TAA-C

C

35

20

3'-TTT AAC TGC AGT ACC ATT-C

3. A 46-mer c ntaining the above complementary

15

18-m r sequenc s, designed such that it forms a looped self structure with a nicked gap between the 3'-OH and 5'-OH tail ends (SEQ ID NO: 4):

C-AAA TTG ACG TCA TGG TAA-C

C

C

C-TTT AAC TGC AGT ACC ATT-C

The nicked oligomer can then be phosphorylated with T4 polynucleotide kinase and ligated with DNA ligase to give a closed, circular, self-complementary structure (SEQ ID NO: 5):

C-AAA TTG ACG TCA TGG TAA-C
T
C-TTT AAC TGC AGT ACC ATT-C

Each of the above oligomers was labeled with [32P] 25. at their 5' ends. 0.01 - 0.02 optical density units (ODUs) were incubated with 2 μM of gamma-[32P]-ATP (specific activity of 3 μCi/pmole) and 20 units of polynucleotide kinase in 50 ml of buffer containing 50 mM Tris, pH 7.6, 10 mM MgCl₂, 5 mM DTT and 0.1 mM EDTA for 45 minutes at 37°C. 30 The reaction was stopped with 2 μl of 0.5 M EDTA and the enzyme removed by extraction with phenol/chloroform.

The 5'-[\$\frac{32}{P}]-labeled oligomers were separated from any unkinased starting material by HPLC on RPC-5 at pH 12, using a 0.03 M - 0.13 M Tris-perchlorate elution gradient.

The 5'-[32P]-labeled oligomers were then purified on a Nensorb purification cartridge.

40 1-5 pmoles of the $5'-[^{32}P]$ -labeled 46-mer was reacted with 4 units of DNA ligase overnight at room temperatur in 50 μ l of buffer containing 50 mM Tris pH

7.5, 5 mM MgCl₂, 0.5 mM ATP, and 0.5 mM DTT.

The ligated product was separated from unligated starting material by denaturing gel electrophoresis on 12% acrylamide. The ligated product had a higher mobility than the unligated product and was resistant to alkaline phosphatase treatment, whereas the 5'-[32P]-label was removed from the unligated material by this enzyme.

10 To study the ability of the oligonucleotides of the invention (Sequence ID Nos. 3, 4 and 5) to bind to CRE-BP, 0.015 pmole of the [32P]-labeled 41-mer, 46-mer, or the ligated 46-mer was incubated with either 200 ng of pure CRE-BP or a nuclear cell extract (obtained from PC12 cells) containing 4 ng of protein. Incubation was carried out for 20 minutes at room temperature in 5 μl of buffer containing 15 mM Tris pH 7.5, 50 mM KCl, 0.1 mM EDTA, 0.5 mM DTT and 9 μg/ml of acetylated bovine serum albumin. As a comparison, the [32P]-labeled double-stranded 18-mer (i.e., 20 the result of hybridizing Sequence ID Nos. 1 and 2) was incubated in the presence of the same reagents and under the same conditions.

Binding of the [32P]-labeled oligomers to CRE-BP 25 was determined by a gcl shift assay on a 6% polyacrylamide non-denaturing gel. Electrophoresis was carried out at 7 ma for approximately 2.5 hours. The gels were then autoradiographed.

Binding of the [32P]-labeled oligomers to CRE-BP could be seen as a much slower-moving band near the origin of the gel, whereas the unbound oligomer had a considerably higher mobility.

The double-stranded 18-mer, 41-mer and both the unligated and ligated forms of the 46-mer were bound to both pure CRE-BP and CRE-BP in the crude nuclear extract.

:

The ligat d 46-mer bound more efficiently to CRE-BP than did any of the other oligomers.

Cross-linking of the ligated CRE-BP oligomer to 5 CRE-BP was carried out after the above-described binding assay had been performed. Cross-linking was carried out by adding 1 μ l of a 0.5 mM transplatinum diammine dichloride solution to 5 μ l of the binding reaction mixture, and left at room temperature for 1 hour.

10

Protein bound (but not cross-linked) to the oligomer, was dissociated from the oligomer by adding SDS to a final concentration of 0.1%. Oligomers that are cross-linked to the protein are not dissociated by SDS, and can be visualized on an 8% SDS gel.

Approximately 20% of the oligomer originally bound to CRE-BP was cross-linked to the protein by treatment with the <u>transplatinum</u> diammine dichloride 20 solution.

The stability of the CRE-BP/oligomer complexes to degradation upon exposure to enzymes in both human and fetal calf serum was measured as follows:

25

30

Each [32P]-labeled oligomer (-1 pmole/ml) was incubated in 100% human serum or 10% fetal calf serum in RPM1 1640 media (Hazelton Labs) at 37°C for times ranging from 10 minutes to 24 hours. Aliquots of serum were diluted with buffer and analyzed on a 20% denaturing gel (20% polyacrylamide gel containing 7 M urea at pH 8.2).

Results indicated that after 30 minutes, 50 - 75% of the single stranded oligomer had been degraded in both human and calf serum. The double-stranded 18-mer was 50 - 60% degraded in both sera after 24 hours. The 41-mer was

15

25

30

40

still stable after 24 h urs at 37°C in 10% fetal calf serum, but was -20% degraded after 24 hours at 37°C in 100% Dramatically, there was no discernible human serum. degradation of the ligated oligomer in either calf or human 5 serum, even after treatment for 24 hours at 37°C.

EXAMPLE II

another application of ' the invention the double-stranded phosphorothicate 10 oligonucleotides, oligonucleotides described by Bielinska et al. [Science 250: 997-1000 (1990)] could be improved in stability by introducing at least one loop structure therein. Thus, the Bielinska double-stranded phosphorothicate probe (SEQ ID NO: 6):

> 5'-AAA TTT ACA TAT TAC ACA TAT-3' 3'-TTT AAA TGT ATA ATG TGT ATA-5'

20 which the authors show to be capable of combining with the IL-2 octamer transcription factor (thereby inhibiting the expression of proteins under the control of the octamer sequence enhancer in Jurket cells), could be replaced with a phosphorothicate hairpin of the structure (SEQ ID NO: 7):

5'-AAA TTT ACA TAT TAC ACA TAT-T

3'-TTT AAA TGT ATA ATG TGT ATA-T

The hairpin structure should be much more resistant to the effects of enzyme-mediated degradation, denaturation, and the like.

In a similar experiment, the Bielinska double-35 stranded phosphorothioate probe (SEQ ID NO: 8):

> 5'-AGG GAC TTT CCG CTG GGG ACT TTT C-3' 3'-TCC CTG AAA GGC GAC CCC TGA AAA G-5'

is sh wn to be capable f depr ssing transcription fr m the

HIV nhanc r in clon 13 cells. This s quence could be replaced with a phosphorothicate hairpin of the structure (SEQ ID NO: 9):

5'-AGG GAC TTT CCG CTG GGG ACT TTT CT

T

, -

3'-TCC CTG AAA GGC GAC CCC TGA AAA GT

10

5

The hairpin structure should be much more resistant to the effects of enzyme-mediated degradation, denaturation, and the like.

15

EXAMPLE III

The following were obtained from commercial sources: K₂PtCl₄ (Pfaltz and Bauer); trans platinum diammine dichloride (transPt^{II}), cis platinum diammine dichloride (cisPt^{II}) and (poly[dI-dC]·poly[dI-dC]) (from Sigma); T4 DNA ligase (Gibco-BRL); and tetraethylthiouram disulfide (TETD)/acetonitrile (Applied Biosystems). The purified CREB protein and a CREB-containing nuclear extract from PCl2 cells were gifts for Dr. Marc Montminy [see Montminy and Bilezikjian in Nature 328:175-178 (1987); and Yamamoto et al., in Nature 334:494-498 (1988)]; the purified JUN protein was a gift from Dr. Inder Verma [see Bohmann et al., in Science 238:1386-1392 (1987); and Angel et al., in Nature 332:166-171 (1988)].

30.

Dumbbell oligonucleotides containing the double-stranded CRE, TRE and Sp1 recognition sequences (SEQ ID NOS: 5, 11, and 13, respectively) were obtained by synthesizing linear oligonucleotides (SEQ ID NOS: 4, 10, and 12, respectively) on an Applied Biosystems model 391 PCR MATE automated DNA synthesizer using phosphoramidite chemistry, and then ligating with T4 DNA ligase. Phosphorothicate linkages were introduced using the sulfurizing reagent TETD/acet nitrile in place f I2 during

the oxidation st p in the synth sis cycle. This necessitated synthesizing the oligomer in sequential steps with a break in synthesis when the I₂ reagent was replaced by TETD/acetonitrile and vice versa. The synthesizer is reprogrammed before and after each introduction of a phosphorothicate residue, the already synthesized sequence fulfilling the role usually played by the resin-attached initiating monomer.

Thus a sequence 5'-N₁N₂N₃N₄(s)N₅N₆N₇-3' would be made by first synthesizing the sequence 5'-N₅N₆N₇-3' in the usual way. The iodine reagent is then replaced by TETD/acetonitrile, and the sequence N₄X is programmed, where X stands for the 5'-N₅N₆N₇-3' sequence that is already attached to the resin and is treated as if it were the resin attached 3'-nucleoside in a standard synthesis. The synthetic program is modified as indicated in the instructions provided with the sulfurizing reagent and N₄ is incorporated into the sequence via a phosphorothicate linkage. The sulfurizing reagent is then replaced by the iodine reagent and the sequence 5'-N₁N₂N₃X-3' is programmed, using the normal synthetic cycle program.

The synthesis of 5'-tritylated CRE(s)₆-46mer (SEQ 1D NO: 4), for example, was carried out by synthesizing the following sequence in turn, with the sulfurizing reagent replacing the iodine reagent at the residues indicated by an (s):

```
1) 5'-ATG-3'; 2)5'-C(s)X-3';
```

30 3) 5'-GTX-3'; 4) 5'-C(s)X-3';

5) 5'-GAX-3'; 6) 5'-T(s)X-3';

7) 5'-AAT TTC TCT CAA ATX-3' (SEQ ID NO: 46);

8) 5'-C(s)X-3'; 9) 5'-GTX-3';

10) 5'-C(s)X-3'; 11) 5'-GAX-3';

35 12) 5'-T(s)X-3';

13) 5'-GTA ACT CTC TTA CCA X-3' (SEQ ID NO: 47); where "X" stands f r the r sin-attached oligomer.

d protection with ammonia, After phosphorothicate oligonucleotides 5'-tritylated detritylated on an OPC oligonucleotide purification column (Applied Biosystems) and further purified by denaturing gel 5 electrophoresis on 12% acrylamide. (The oligonucleotides were heated at 70°C for 3 minutes prior to loading on the gel). The phosphorothicate-containing oligonucleotides had longer retention times than the standard oligomers when analyzed by HPLC on an RPC-5 column. They gave multiple 10 peaks due to the presence of R- and S- isomers at each phosphorothicate group. After oxidation with I2 [Connolly et al., Biochemistry Vol. 23:3443-4453 (1984)], they were oligonucleotides containing converted to phosphodiester bonds that gave a sharp, single peak on Oligonucleotides were phosphorylated at their 5'-termini using $y-[^{52}P]$ -ATP and polynucleotide kinase. The kinased products were purified on a Nensorb purification column (Du Pont), but were not separated from the starting oligomer at this stage.

20

To ligate the nicked dumbbell forms of 5'-[32P]their phosphorothicate-containing or oligonucleotides analogues (SEQ ID Nos: 4, 10, and 12, respectively), ~1-20 pmoles of the linear oligonucleotide was heated at 65°C for Then 10 μ l of a 5 x ligase 25 3 minutes in 36 μ l of water. buffer were added so that the final reaction mixture contained 50 mM Tris (pH 7.8), 10 mM MgCl2, 1 mM ATP, 1 mM DTT and 5% polyethylene glycol. After 10 minutes at room temperature, 4 units (4 μ 1) of DNA ligase was added. After 30 overnight incubation at room temperature, the reaction mixture was heated at 75°C for 3 minutes. The ligated product was then separated from non-ligated starting material by denaturing gel electrophoresis on 12% polyacrylamide. The ligated form, which is resistant to 35 the action of alkaline phosphatase, migrates faster than the unligated form. Yields of ligated product ranged from 50-95% for standard oligodeoxynucleotides and from 40-70%

25

for ph sph r thioate-containing ligomers.

Binding of the ligated CRE and CRE(s), sequences to the CREB protein was carried out as previously described 5 [Dwarki et al., EMBO J. 2:225-232 (1990); Chu and Orgel, Nucleic Acids Res. 19:6958 (1991)]. Approximately 0.015 pmole of the [TP]-CRE sequences was added to ~200 ng of pure CREB protein in 10 μ l of buffer containing 50 mM KCl, 15 mM Tris, (pH 7.5), 0.1 mM EDTA, 0.5 mM DTT, 180 ng 10 acetylated BSA and 250 ng (poly[dI-dC] · poly[dI-dC]) and then incubated at room temperature for 15-20 minutes. Binding was detected by gel shift assay on 6% nondenaturing gels using 40 mM Tris-borate at pH 8.2 as the. electrophoresis buffer. Binding of the ligated CRE 15 sequences to an aliquot of nuclear extract from PC12 cells (4 μ g total protein) was carried out in the same way. Binding of TRE sequences to the JUN protein was carried out similarly in 10 μ l of buffer containing 50 mM Tris (pH 7.9), 100 mM KCl, 1 mM EDTA, 1 mM DTT, 12.5 mM MgCl,, 20% 20 glycerol and 250 ng (poly[dI-dC] · poly[dI-dC]). Binding was detected by non-denaturing gel electrophoresis on 6% acrylamide, using 20 mM Tris-borate (pH 8.2) electrophoresis buffer.

For crosslinking of oligonucleotide to protein, approximately 0.015 pmole of the appropriate [2P]-labelled dumbbell oligonucleotide was first incubated with 200 ng CREB or JUN protein in 10 μ l of buffer containing 50 mM KCl, 15 mM Tris (pH 7.5), 0.1 mM EDTA, 0.06 mM DTT, 180 ng 30 acetylated BSA and 250 ng (poly[dI-dC] poly[dI-dC]) as described above. After 15 minutes at room temperature, 1-3 μl of a freshly prepared solution containing the required amount of K2PtCl, or transPt in buffer containing 1 mM phosphate (pH 7) and 0.1 mM EDTA was added to the reaction 35 mixture. Incubation was continued at room temperature in the dark for 1 hour. 0.5 μ L of a 5% solution of SDS was then added and the cr sslinked product separated from noncrosslinked oligonucle tide n an 8% polyacrylamide gel using buffer containing 90 mM Tris-borate (pH 8.2) and 0.1% SDS (SDS gels disrupt noncovalently associated DNA-protein complexes).

5

recognition sequence contained in a ligated dumbbell oligonucleotide binds to the CREB protein just as efficiently as does normal hybridized double-stranded DNA sequence. Similar results have been obtained for the TRE dumbbell sequence and the JUN protein [Chu and Orgel (1991) supra]. In further studies, it has been found that the introduction of 6 phosphorothicate residues within the octamer recognition sequence of ligCRE(s)₆ (see SEQ ID NO: 5) or ligTRE(s)₆ (see SEQ ID NO: 11) does not diminish their binding efficiency to CREB or JUN, respectively. Similar results have been reported for the interaction of phosphorothicate-containing DNA with other proteins [Bielinska et al., Science Vol. 250:997-1000 (1990)].

20.

Crosslinking of ligCRE(s), - CREB and ligTRE(s), - JUN with K,PtCl,

An autoradiogram of an 8% SDS gel, after [32P]ligcRE(s), has been crosslinked to CREB in the presence of 0.3 mM K2PtCl, or 2 mM K2PtCl, shows 2 bands which correspond to proteins of approximate molecular weights 100,000 and 52,000. It is believed that the 100,000 M.W. band corresponds to the dumbbell oligomer (M.W. 16,000) 30 bound to dimeric CREB protein (86,000) [Montminy and Bilezikjian, Nature Vol. 328:175-178 (1987)], and the 52,000 band corresponds to the oligomer bound to monomeric CREB protein. The proportion of crosslinked product in the dimeric form increases as the platinum concentration is increased. In contrast, no bands are visible when the crosslinking procedure is carried out in the absence of CREB.

The crosslinking effici ncy f [32P]ligCRE(s) was compared with that of [32P]ligCRE (i.e., the same ligated dumbbell sequence, but containing normal phosphodiester linkages) and [32P]ligSp1(s), (see SEQ ID NO: 13) (an unrelated dumbbell oligomer containing 6 phosphorothicate residues within the octamer Sp1 recognition sequence). Also included for comparison was a crosslinking mixture that contained [32P]ligCRE(s), and an 80-fold excess of the unligated oligomer without a [32P]-label; and a crosslinking reaction that contained a hundredfold excess of an unlabelled, unrelated sequence containing 6 phosphorothicate residues.

comparison of [32P]ligCRE(s), with [32P]ligCRE indicates that the presence of internal phosphorothicate residues within the DNA recognition binding region is responsible for the efficient crosslinking of ligCRE(s), to CREB. When the same circular dumbbell CRE sequence contained only normal phosphodiester bonds, the crosslinking efficiency was reduced by 80%. At lower platinum concentrations (0.3 mM), crosslinking was not visible when the non-substituted oligonucleotide was used.

These results also indicate that the crosslinking 25 of the ligCRE(s), to CREB is sequence specific. Addition of an 80-fold excess of the same unlabelled (but unligated) phosphorothicate sequence to the crosslinking reaction decreased the yield of crosslinked product by 85-90%, but no decrease in crosslinked product was visible when an circular sequence containing 30 unrelated phosphorothicate residues was added to the crosslinking Furthermore, a dumbbell oligomer containing 6 mixture. phosphorothicate residues in the Sp1 recognition octamer sequence crosslinked to CREB with less than 10% of the 35 efficiency of the CRE sequence at a high platinum concentration (2 mM). No crosslinking could be detected with an intermediate platinum concentration (0.3 mM).

Wh n number the of pm les ligCRE(s), crosslinked to CREB, estimated as by electrophoresis, was compared to the number of pmoles that were bound to CREB as estimated in an independent 5 experiment using non-denaturing gel electrophoresis, the crosslinking efficiency was found to be 40-50% of the binding efficiency when the concentration of K,PtCl, was 2.3 Lowering the K2PtCl, concentration to 0.3 mM resulted in a 20-30% crosslinking efficiency, and raising the 10 concentration to 4 mM resulted in a 60-70% crosslinking efficiency.

similar results are [32P]ligTRE(s)6 (see SEQ ID NO: 11) is crosslinked to JUN, 15 [32P]ligTRE, containing normal phosphodiester bonds, crosslinks with approximately 15% of the efficiency of the [32P]ligTRE(s), when the concentration of K2PtCl, is 2 mM. No crosslinking of [32P]ligTRE is visible when the concentration of K2PtCl4 is 0.3 mM. Crosslinking is 20 inhibited by 85% when an 80-fold excess of unlabelled unligated TRE(s), is added to the crosslinking reaction mixture, but a 100-fold excess of a random oligomer containing the same number of phosphorothicate residues does not inhibit crosslinking. A dumbbell [32P]ligSp1(s), 25 sequence (see SEQ ID NO: 13) crosslinks to JUN with less than 10% of the efficiency of ligTRE(s)6. The molecular weight of the crosslinked product indicates crosslinking occurs between [32P]ligTRE(s), and monomeric JUN.

30

TransPt^{II} forms crosslinks between CRE and CREB or TRE and JUN efficiently at concentrations considerably lower than those needed to crosslink with K₂PtCl₄. However, even at relatively low concentrations of transPt^{II} (0.08 mM), aggregates form that stick to the origin of SDS gels. CisPt^{II} was not an effective crosslinking agent.

35

By treating Pt-cr sslink d products with 0.4 M NaCN overnight at room temperature, the cyanide ion displaces the platinum complex from the phosphorothicate groups and releases the labelled oligonucleotides ligCREB(s)₆ or ligTRE(s)₆.

Crosslinking of ligTRE(s), to CREB

Weak associations of DNA with protein can be detected more sensitively by crosslinking with platinum than by gel shift binding assays. Gel electrophoresis indicates that the binding of TRE sequences to CREB is about one tenth as extensive as the binding of the CRE sequence [Maekawa et al., EMBO J. Vol. 8:2023-2028 (1989)]. Detection of the association of TRE to CREB is simplified by crosslinking with K2PtCl4. Gel shift assays on a 6% non-denaturing gel can be used to determine the binding of [NP]ligCRE(s)6, [NP]ligTRE(s)6, and [NP]ligSpl(s)6 to CREB. LigCRE(s)6 is bound ~10 times more efficiently than ligTRE(s)6.

When the same complexes are crosslinked with K2PtCl4, [32P]ligTRE(s)6 is crosslinked to CREB with ~40% of the efficiency with which [32P]ligCREB(s)6 is crosslinked to CREB. A survey of the results of several experiments shows that the number of pmoles of ligTRE(s)6 that crosslinked to CREB was 3-5-fold higher than the number of pmoles that were detected by gel-shift assays. No bands corresponding to crosslinked products could be seen when it was attempted to crosslink the [32P]ligSpl(s)6, a sequence that does not bind CREB (see SEQ ID NO: 5). This indicates that the crosslinking of ligTRE(s)6 to CREB is sequence specific.

Crosslinking of [32P]-ligCRE(s), and [32P]-ligTRE(s), to proteins in a PC12 nuclear cell extract

When [32P]ligCRE(s)6 or [32P]ligTRE(s)6 were added

to a nuclear cell xtract from PC12 cells and treat d with K2PtC14, they were crosslinked to proteins in the extract. In the case of [32P]ligTRE(s)6, several bands were present on an SDS gel. The major band, as anticipated, had the same mobility as the adduct formed by [32P]ligTRE(s)6 with pure JUN. However, in the case of [32P]ligCRE(s)6, the major band did not have the same mobility as the [32P]ligCRE(s)6-CREB adduct. Instead it co-electrophoresed with the ligTRE(s)6-JUN product.

10

It is believed that in a crude nuclear cell extract, ligCRE(s)₆ crosslinks preferentially to the AP-1 binding proteins (FOS, JUN, etc.) to which the CRE sequence is already known to bind [Sassone-Corsi et al., Oncogene Vol. 5:427-431 (1990). Standard gel shift assays using PC12 cell nuclear extracts confirm that the CRE sequence binds more extensively to AP-1 proteins than to the CREB protein.

The invention has been described in detail with particular reference to certain preferred embodiments thereof, but it will be understood that variations and modifications can be effected within the spirit and scope of that which is described and claimed herein.

5

10

15

20

-26-

Sequenc Listing

SEQ ID No. 1 is 5'-AAA TTG ACG TCA TGG TAA-3'.

SEQ ID No. 2 is 5'-TTA CCA TGA CGT CAA TTT-3'.

SEQ ID No. 3 is:

5'-AAA TTG ACG TCA TGG TAA-C

3'-TTT AAC TGC AGT ACC ATT-C

SEQ ID No. 4 is:

C-AAA $TT_{(s)}G$ $AC_{(s)}G$ $TC_{(s)}A$ TGG TAA-CT

C-TTT $AA_{(s)}C$ $TG_{(s)}C$ $AG_{(s)}T$ ACC ATT-C

wherein "(s)" designates nucleotides which optionally have 25 incorporated therein phosphorothicate diester linkages (i.e., where the normal phosphodiester bond is replaced with a phosphorothicate diester bond).

SEQ ID No. 5 is:

30

C-AAA $TT_{(s)}G$ $AC_{(s)}G$ $TC_{(s)}A$ TGG TAA-CT

C-TTT $AA_{(s)}C$ $TG_{(s)}C$ $AG_{(s)}T$ ACC ATT-C

SEQ ID No. 6 is:

5'-AAA TTT ACA TAT TAC ACA TAT-3'
40 3'-TTT AAA TGT ATA ATG TGT ATA-5'

```
SEQ ID No. 7 is:
                   5'-AAA TTT ACA TAT TAC ACA TAT-T
                   3'-TTT AAA TGT ATA ATG TGT ATA-T
                   SEQ ID No. 8 is:
10
                  5'-AGG GAC TTT CCG CTG GGG ACT TTT C-3'
3'-TCC CTG AAA GGC GAC CCC TGA AAA G-5'
                  SEQ ID No. 9 is:
15
                  5'-AGG GAC TTT CCG CTG GGG ACT TTT CT
                   3'-TCC CTG AAA GGC GAC CCC TGA AAA GT
20
                   SEQ ID No. 10 is:
                            C-AGC AT(s)G AG(s)T CA(s)G ACA CA-C
25
                            C-TCG TA_{(s)}C TC_{(s)}A GT_{(s)}C TGT GT-C
30
                   SEQ ID No. 11 is:
                            C-AGC AT(s)G AG(s)T CA(s)G-ACA CA-C
35
                            C-TCG TA(s)C TC(s)A GT(s)C TGT GT-C
                   SEQ ID No. 12 is:
                                                                  35
40
                            \texttt{C-GAT} \ \texttt{CG}_{(a)} \texttt{G} \ \texttt{GG}_{(a)} \texttt{C} \ \texttt{GG}_{(a)} \texttt{G} \ \texttt{GCG} \ \texttt{AGC-C}
                       C
45
                            C-CTA GC(s)C CC(s)G CC(s)C CGC TCG-C
```

15

SEQ ID No. 13 is:

 $\begin{array}{c} \text{C-GAT } \text{CG}_{(\bullet)}\text{G } \text{GG}_{(\bullet)}\text{C } \text{GG}_{(\bullet)}\text{G } \text{GCG } \text{AGC-C} \\ \text{T} \\ \text{C} \\ \text{T} \\ \text{C-CTA } \text{GC}_{(\bullet)}\text{C } \text{CC}_{(\bullet)}\text{G } \text{CC}_{(\bullet)}\text{C } \text{CGC } \text{TCG-C} \end{array}$

SEQ ID No. 14 is 5'-KRGGCGKRRY-3', wherein each

10 K is independently G or T; each R is independently G or A;

and Y is C or T.

SEQ ID No. 15 is 5'-TTGGCNNNNNGCCAA-3'.

SEQ ID No. 16 is 5'-TTGGCNNNNNNGCCA-3'.

SEQ ID No. 17 is 5'-GGTCACAGTGACC-3'.

SEQ ID No. 18 is 5'-YGGTWCAMWNTGTYCT-3', wherein 20 each Y is independently C or T; each W is independently A or T; M is A or C; and N is any one of A, C, G, or T.

SEQ ID No. 19 is 5'-AGGTAAGATCAGGGACGT-3'.

SEQ ID No. 20 is 5'-AGGGTATAAAAAGGGC-3'.

SEQ ID No. 21 is 5'-ACCNNNNNNGGT-3', wherein each N is independently selected from A, C, G or T).

30 SEQ ID No. 22 is 5'-TTTTTTGGCTTTCTGGGC-3'.

SEQ ID No. 23 is 5'-ATCGGTGCACCGAT-3'.

SEQ ID No. 24 is 5'-GGGTATAAATTCCGG-3'.

35 ·

25

SEQ ID No. 25 is 5'-AGGAAGTGAAA-3'.

SEQ ID No. 26 is 5'-GGCCACGTGACC-3'.

-29-

SEQ ID No. 27 is 5'-CTTTGAGCAA-3'.

SEQ ID No. 28 is 5'-ARYCTTTGACCTC-3', wherein R is A or G; and Y is C or T.

5

SEQ ID No. 29 is 5'-TCTTTGACCTTGAGCCCAGCT-3'.

SEQ ID No. 30 is 5'-TGGTTAATNWTCNNCA-3', wherein W is A or T; and each N is independently selected from A, 10 C, G, or T.

SEQ ID No. 31 is 5'-CTGTGGAATG-3'.

SEQ ID No. 32 is 5'-GCTTTTCACAGCCCTTGTGGATGC-3'.

15

SEQ ID No. 33 is 5'-AAGCCTGGGGCGTA-3'.

SEQ ID No. 34 is 5'-CCWWWWWWGG-3', wherein each W is independently selected from A or T.

20

SEQ ID No. 35 is 5'-GTTCCCGTCAATC-3'.

SEQ ID No. 36 is 5'-GAAANNGAAASK-3', wherein each N is independently A, C, G or T; S is C or G; K is C or T.

25

SEQ ID No. 37 is 5'-ANTCTCCTCC-3'.

SEQ ID No. 38 is 5'-AACAATGGCTATGCAGTAAAA-3'.

30

SEQ ID No. 39 is 5'-AGAAAATGGT-3'.

SEQ ID No. 40 is 5'-GNNTTGGTGA-3'.

SEQ ID No. 41 is 5'-GWCACCTGTSCTTTTCCCTG-3'.

35

SEQ ID No. 42 is 5'-AGTCAAGATGGC-3'.

SEQ ID No. 43 is 5'-CAGGCAGGTGGCCCA-3'.

SEQ ID No. 44 is 5'-AGGTCATGTGGCAAC-3'.

SEQ ID No. 45 is 5'-TAACCCAGGTGGTGTT-3'.

SEQ ID No. 46 is 5'-AATTTCTCTC AAATX-3', wherein "X" stands for resin-attached oligomer.

SEQ ID No. 47 is 5'-GTAACTCTCT TACCAX-3', wherein "X" stands for resin-attached oligomer.

5

10

35

That which is claimed is:

- 1. An oligonucleotide comprising, reading from the 5'-end of said oligonucleotide:
- (i) a first segment comprising a sequence of deoxynucleotide or nucleotide residues, or analogs thereof; wherein said first segment, when hybridized with its complement, forms at least one transcription control recognition sequence of at least 6 nucleotides,
- (ii) a second segment comprising a sequence of deoxynucleotide or nucleotide residues, or analogs thereof, sufficient to allow the formation of a first loop structure between said first segment and the third segment, and
- (iii) a third segment comprising a sequence of 15 deoxynuclectide or nucleotide residues or analogs thereof, wherein said third segment is substantially the complement to said first segment.
 - 2. An oligonucleotide according to Claim 1,
 20 further comprising a fourth segment comprising a sequence
 of deoxynucleotide or nucleotide residues, or analogs
 thereof, sufficient to allow the formation of a second loop
 structure between said first segment and said third
 segment, wherein said second loop structure is formed by
 25 connecting the 5'-end of said first segment and the 3'-end
 of said third segment.
 - 3. An oligonucleotide according to Claim 2 wherein there is a break in either the first or third 30 segment.
 - 4. An oligonucleotide according to Claim 3 wherein the oligonucleotide is dephosphorylated at the site of the break in either the first or third segment.
 - 5. An oligonucleotide according to Claim 4 wherein, in addition t being dephosphorylated, there is

delet d from said oligonucleotide, at the sit of the break, one or more of said deoxynucleotide or nucleotide residues, or analogs thereof.

- 5 6. An oligonucleotide according to Claim 1 wherein said first and third segments are additionally attached to one another by covalent means of attachment.
- 7. An oligonucleotide according to Claim 6 10 wherein said covalent bonds serve to attach the upstream end of said first segment to the downstream end of said third segment.
- 8. An oligonucleotide according to Claim 6 wherein said covalent means of attachment is selected from a (poly)alkylene bridge, an α, ω -poly(alkylene) dicarboxylic acid, a binuclear Pt^{II} complex selected from:

wherein n is 4, 5, or 6; or contacting the complementary strands of the transcription control recognition sequence with the natural product, psoralen, then photo-crosslinking by exposure to ultraviolet light.

- 9. An oligonucleotide according to Claim 1
 30 wherein said sequence of deoxynucleotide or nucleotide residues, or analogs thereof, sufficient to form a loop structure comprises at least 3 residues.
- 10. An oligonucleotide according to Claim 1 35 wherein said oligonucleotide is further modified so as to be capable of forming a covalent bond with a protein which associates with said transcription control recognition sequence.

15

20

25

30

35

- 11. A compositi n comprising a d uble-stranded DNA fragment, wherein said DNA fragment contains at least one transcription control recognition sequence of at least 6 nucleotide base pairs, and wherein one strand of said DNA fragment is attached to the other strand by means of at least one linker that is covalently bound to each of the strands of said DNA.
- 12. A composition according to Claim 11 wherein 10 said covalently bound linker is:

a sequence of bases sufficient to allow the formation of a loop structure between the 3'-end of one strand of said double-stranded DNA fragment and the 5'-end of the strand complementary thereto, or

a sequence of bases sufficient to allow the formation of a loop structure between the 5'-end of one strand of said double-stranded DNA fragment and the 3'-end of the strand complementary thereto, or

two sequences of bases sufficient to allow the formation of a loop structure between:

the 3'-end of the first strand of said double-stranded DNA fragment and the 5'-end of the strand complementary thereto and the 3'-end of the complementary strand of said double-stranded DNA fragment, and

the 5'-end of the first strand of said double-stranded DNA fragment, or

a (poly)alkylene bridge, an α, ω -poly(alkylene) dicarboxylic acid, a binuclear Pt¹¹ complex selected from:

wherein n is 4, 5, or 6; or contacting the complementary strands of the transcription control recognition sequence with the natural product, psoralen, then ph to-cross-

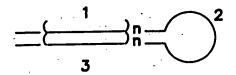
linking by xposure to ultraviolet light.

- 13. A composition according to Claim 12 wherein said sequence of bases sufficient to allow the formation of loop structure(s) comprises in the range of about 3 up to 10 nucleotides.
- 14. A composition according to Claim 13 wherein said sequence of bases sufficient to allow the formation of 10 loop structure(s) comprises a sequence of any five nucleotide bases.
- 15. A method to modulate the transcription of products which are subject to regulation by transcription control recognition sequences, said method comprising administering a therapeutically effective amount of the composition of Claim 1 to a subject.
- 16. A method to modulate the transcription of products which are subject to regulation by transcription control recognition sequences, said method comprising administering a therapeutically effective amount of the composition of Claim 11 to a subject.
- 25 17. A method to improve the stability of a double-stranded DNA fragment, wherein said DNA fragment contains at least one transcription control recognition sequence of at least 6 nucleotide base pairs,
- said method comprising introducing at least one linker 30 between the first strand and the strand complementary thereto, wherein said linker is covalently bound to each strand of said double-stranded DNA fragment.
- 18. A method to crosslink oligonucleotides to 35 polypeptides bound thereto, said method comprising incorporating at least one phosphorothicate group into said oligonucleotide and c ntacting the p lypeptide-bound,

ph sph rothicat containing olig nucleotide with a transition metal catalyst.

- 19. A method according to claim 18 wherein said 5 transition metal catalyst is K2PtCl4.
- 20. A method according to claim 18 wherein said oligonucleotide contains at least four or more phosphorothicate diester linkages are incorporated into the recognition sequence of the oligonucleotide.

FIG. 1



n <u>≥</u> 1

FIG. 2

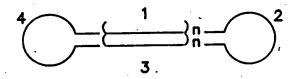
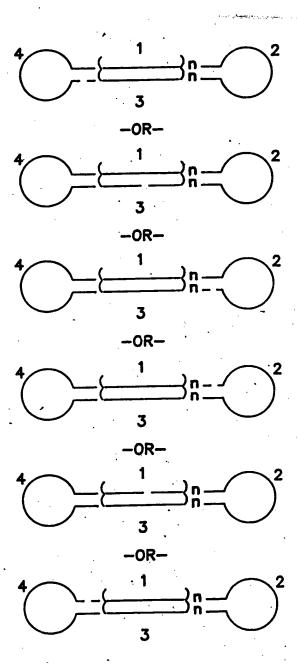


FIG. 4

SUBSTITUTE SHEET

2/2

FIG. 3



SUBSTITUTE SHEET

INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 92/03205

L CLASSIFI	CATI N FSUBJE	CT MATTER (If several classification	symbols apply, indicate all) ⁶	
		Classification (EPC) or to both National C12Q1/68	Classification and IPC	·
Int.Cl.	5 CO7H21/0	U; , C12Q1/00		•
IL FIELDS S	TARCETTO	· · · · · · · · · · · · · · · · · · ·		
II. FIELDS S		Minimum Decas	sectation Searchof	
Classificatio	on System		Classification Symbols	
		00711 0120		
Int.Cl.	5	CO7H; C12Q	, :	
	-	Decementation Searched other to the Expent that such Decement	r than Minimum Documentation s are included in the Fields Searched ⁸	
	•			
	•	•		
	ENTS CONSIDERE	D TO BE RELEVANT ⁹ ICHMOSt, ¹¹ with indication, where approp	cione of the relevant physicage 12	Relocat to Claim No.13
Category *	Citation of De	CORP. II AND INSCIOUR, MAR SPACE	Table of the later	
x	BIOCHEM	ISTRY.		1,2
^	vol. 26	, no. 22, 3 November 1	987, EASTON, PA US	.
	pages 7	ET Al • ¹A Dumbbell−Sh	aped.	
.]	Double-	Hairpin Structure of D	NA: A Thermodynamic	, 1
	Investi	gation.'		- 1
		whole document		
x	BIOLOGI	003 445 (THE SALK INST CAL STUDIES) 5 April 1 whole document	ITUTE FOR 1990	1,15
X	EP,A,O Novembe see abs		ENGESELLSCHAFT) 30	1
	•		-/	
				,
· Special	categories of cited 4	ocuments : 10	"T" later document published after the in- or priority date and not in conflict w	
"A" doc	nment defining the graduated to be of parti-	meral state of the art which is not calar relevance	cited to understand the principle or t invention	
T ear	fier document but pui ag date	ilished on or after the international	"X" document of particular relovance; the	be considered to
"L" soc	ment which may the	ovr doubts on priority claim(s) or h the publication date of musther	involve an inventive step "Y" document of particular relevance; the cannot be considered to involve an in	
O 400	ption or other special : cument referring to 81	resse (as specifies) a oral disclosure, use, exhibities er	document is combination with one or m ments, such combination being obvious	
7 400	ier metas cument published prio	r to the interactional filling date but	is the art. *A" document member of the same passes	
lat	er than the priority di	tte daimet		
IV. CERTI		I de la lacaretta el Carach	Date of Mailing of this International	Search Report
Date of the	_	the International Search	25.09	L 92
1	08 SEPTI	EMBER 1992		·
Internations	al Searching Authorit	7 .	Signature of Authorized Officer	2 A Q 1L
	EUR P	EAN PATENT OFFICE	SCOTT J.R.	1.14.00T

	ENTS CONSIDERED TO BE RELEVANT (CONTINUED FROM THE SECOND SHEET)	
Category *	Citation of Document, with Indication, where appropriate, of the relevant passages	Raievant to Claim N
	:	1
· .		1
x	CHEMICAL ABSTRACTS, vol. 111, no. 3,	. 1,15
	17 July 1989, Columbus, Ohio, US;	1
	abstract no. 18772Y.	1
I	K.S.SRIPRAKASH ET AL.: 'Hairpin Extension: A	
1	General Method for the Improvement of Sensitivity of Oligonucleotide Probes.	1
. 1	Sensitivity of Uligonucleotide Probes. page 176; column 2;	1
1	see abstract	1
1	& GENE ANAL. TECH.	1
. [vol. 6, no. 2, 1989,	1
1	pages 29 - 32;	
x	CHEMICAL ABSTRACTS, vol. 98, no. 7,	1,15
- 1	14 February 1983, Columbus, Ohio, US;	
	abstract no. 48885T,	
[N.L.MARKY ET AL.: 'Loop Formation in	
1	Polynucleotide Chains. I. Theory of Hairpin Loop	
·l	Closure.' page 265 ; column 1 ;	
	see abstract	
1	& BIOPOLYMERS	t
1	vol. 21, no. 12, 1982,	
.1	pages 2329 - 2344;	
A	WO,A,9 000 626 (BAYLOR COLLEGE OF MEDICINE) 25	4-6
.	January 1990	
1	see the whole document	1
. 1		18,20
A .	WO,A,9 011 322 (GEN-PROBE INCORPORATED) 4	
1	October 1990 see abstract	
1		
P,X	EP,A,O 427 073 (MOLECULAR DIAGNOSTICS, INC.) 15	1
.	May 1991-	· ·
1	see claims 1-9	<u> </u>
P,X	EP,A,O 427 074 (MOLECULAR DIAGNOSTICS, INC.) 15	1
. ,^	Hay 1991	
1	see claims 1-10; figures 1-6	· •
.		İ
		
] .		1
- 1	•	1.
		1
1	•	1
1		.]
1		1
	·	·

ANNEX TO THE INTERNATIONAL SEARCH REPORT ON INTERNATIONAL PATENT APPLICATION NO. US 9203205 SA 60193

This assex lists the patent family members relating to the patent documents cited in the above-members international search report. The members are as contained in the European Patent Office EDP file on The European Patent Office is in no way liable for those particulars which are merely given for the purpose of information. 08/09/92

Patent document cited in search report	Publication date	Patent family member(s)		Publication date
WO-A-9003445	05-04-90	Моле		·
EP-A-0292802	30-11-88	DE-A-	3717436 63304989	08-12-88 13-12-88
WO-A-9000626	25-01-90	AU-A- EP-A- JP-T-	3869289 0427745 3505966	05-02-90 22-05-91 26-12-91
WO-A-9011322	04-10-90	CA-A- EP-A-	2011430 0386987	06-09-90 12-09-90
EP-A-0427073	15-05-91	AU-A- JP-A-	6593890 4008293	16-05-91 13-01-92
EP-A-0427074 15-05-91		AU-A- JP-A-	6594990 4008292	16-05-91 13-01-92